

# Energy versus time in x-ray scattering

Peter Abbamonte  
University of Illinois

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... and many others

Y. Gan, A. Kogar, P. Abbamonte,  
Chem. Phys. **414**, 160 (2012)

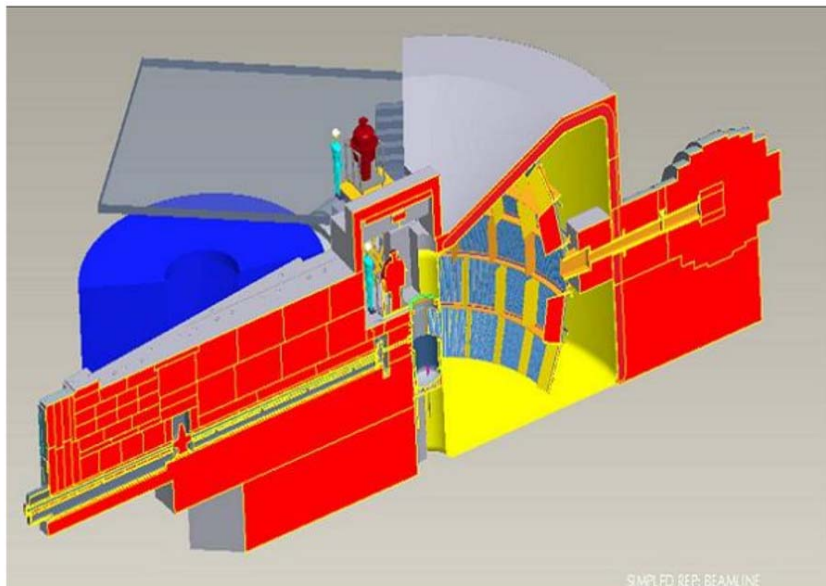
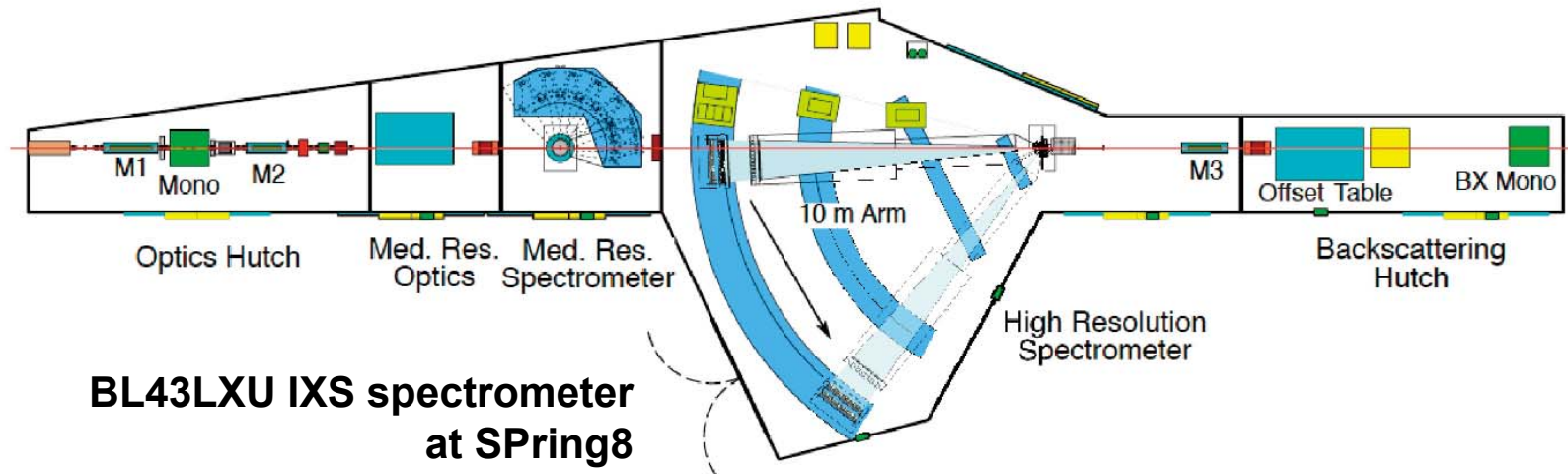
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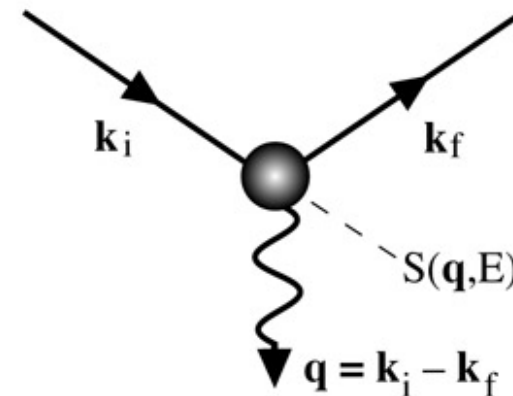
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**ENERGY**

Office of  
Science

# Momentum- and energy-resolved scattering



**SEQUOIA spectrometer at SNS**

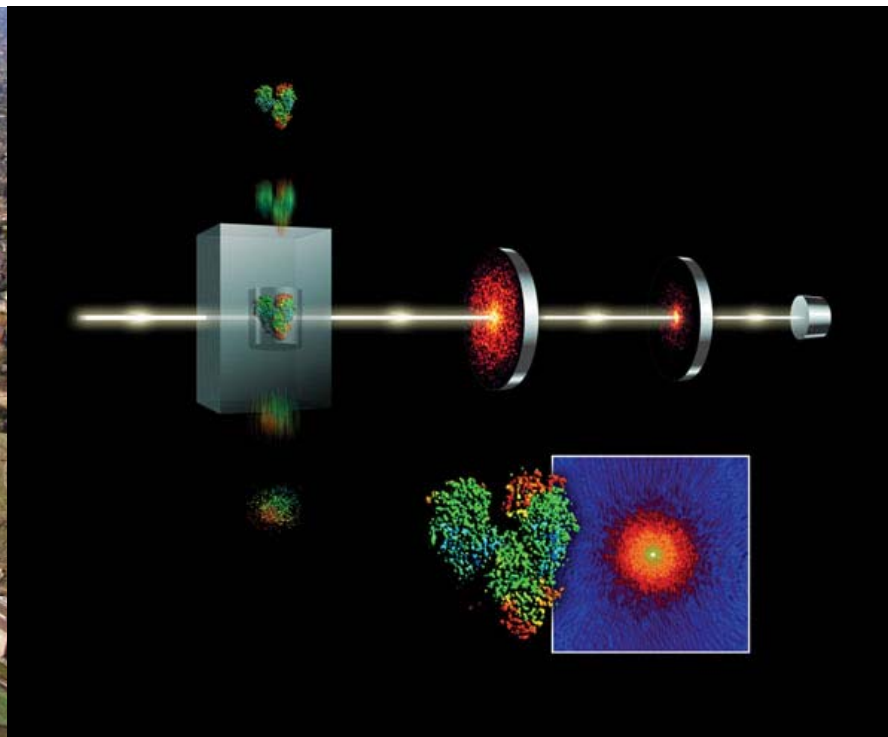
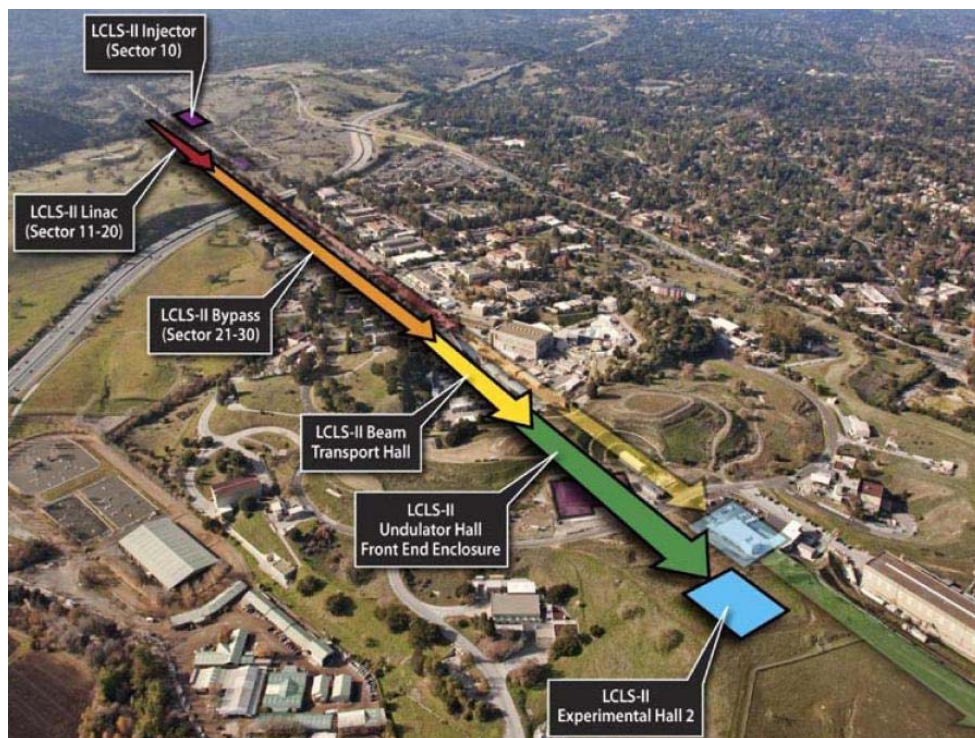


**Measures a correlation function,  $S(\mathbf{q}, \omega)$**

( See talk yesterday by Toby Perring )



# *A new approach: Free Electron Lasers*



**Can—for the first time—study ultrafast dynamics with a momentum-resolved probe**

## Questions for today:

- How is this different from inelastic scattering techniques, which are also said to measure dynamics? That is, how are time and frequency related?
- Where does scattering come from, and how does it measure dynamics anyway?

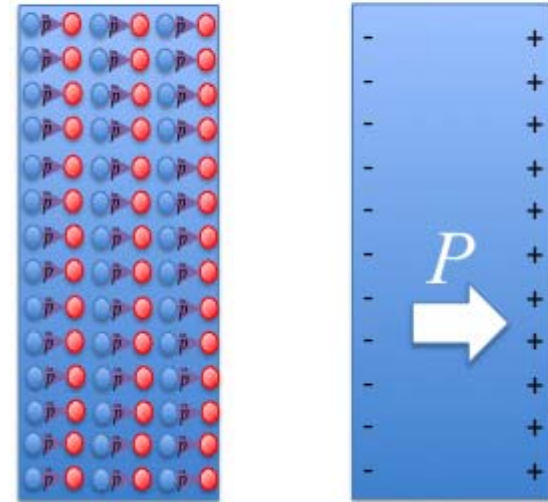
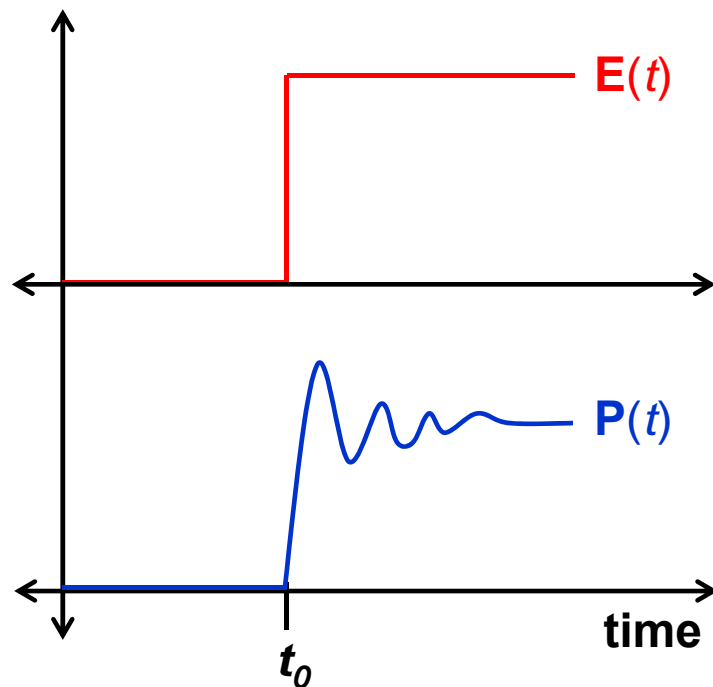
## Example of $\omega$ vs. $t$ : the dielectric function, $\varepsilon(\omega)$



$$\varepsilon(\omega) = 1 + 4\pi\chi_e(\omega)$$

$$\chi_e(\omega) = \frac{\varepsilon(\omega) - 1}{4\pi}$$

$$\mathbf{P}(\omega) = \chi_e(\omega)\mathbf{E}(\omega)$$



**Time relationship is nonlocal:**

$$\chi_e(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \chi_e(\omega) e^{-i\omega t}$$

$$\mathbf{P}(t) = \int_{-\infty}^{\infty} dt' \chi_e(t-t') \mathbf{E}(t')$$

$\chi_e$  is a **Green's function**  
 $\omega$  dependence  $\leftrightarrow$  retardation

# Electron in an EM field (classical)



Can define the fields in terms of potentials:

$$\mathbf{B} = \nabla \times \mathbf{A} \quad \mathbf{E} = -\nabla \varphi - \frac{1}{c} \frac{\partial \mathbf{A}}{\partial t}$$

Classical motion described by the Lagrangian

$$L = K - V = \frac{1}{2} m \dot{\mathbf{x}}^2 + e\varphi - \frac{e}{c} \dot{\mathbf{x}} \cdot \mathbf{A}^*$$

The canonical momentum is

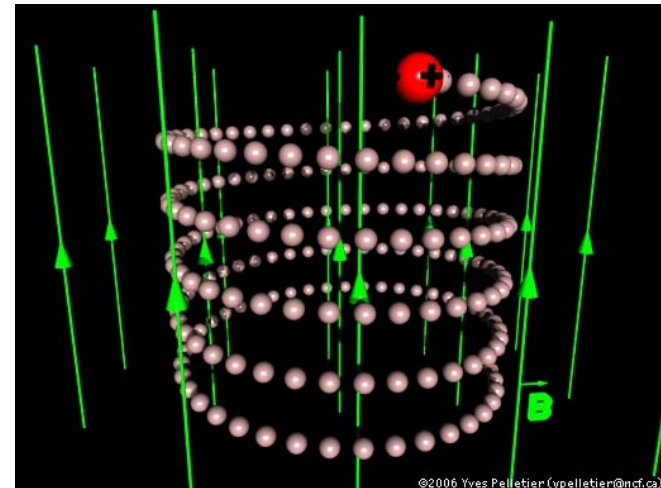
$$\mathbf{p}^c = \frac{\partial L}{\partial \dot{\mathbf{x}}} = m \dot{\mathbf{x}} - \frac{e}{c} \mathbf{A}$$

This allows one to define the classical Hamiltonian

$$H = \sum_i p_i^c \dot{r}_i - L = \frac{1}{2m} \left( \mathbf{p}^c + \frac{e}{c} \mathbf{A} \right)^2 - e\varphi$$

Hamilton's equations give the equations of motion:

$$\dot{x}_i = \frac{\partial H}{\partial p_i^c} \quad \dot{p}_i = -\frac{\partial H}{\partial x_i}$$



Result is the **Lorentz force law:**

$$m \ddot{\mathbf{x}} = -e \left( \mathbf{E} + \frac{1}{c} \dot{\mathbf{x}} \times \mathbf{B} \right)$$

\* Gaussian units

# Electron in an EM field (quantum)



The Hamiltonian is now an **operator**. Photons are massless so we have to use second quantization:

$$\hat{H} = \hat{H}_{EM} + \hat{H}_{\text{electron}} + \hat{H}_{\text{interaction}}$$

$$\hat{H}_{EM} = \int d\mathbf{x} \left( \frac{\hat{E}^2}{2} + \frac{\hat{B}^2}{2} \right) \quad \hat{H}_{\text{electron}} = \int d\mathbf{x}^3 \hat{\psi}^\dagger(\mathbf{x}, t) \left[ \frac{\mathbf{p}^2}{2m} + V(\mathbf{x}) \right] \hat{\psi}(\mathbf{x}, t)$$

note:

$$\mathbf{p} = m\dot{\mathbf{x}} - \frac{e}{c} \mathbf{A}$$

Where  $\hat{\psi}(\mathbf{x}, t)$  annihilates an electron at position  $\mathbf{x}$  and time  $t$ .

The vector potential is an operator that creates or annihilates photons:

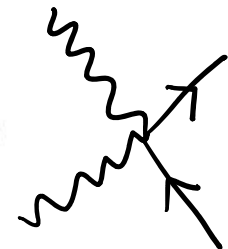
$$\hat{\mathbf{A}}(\mathbf{x}, t) = \sum_{\mathbf{k}, \lambda} c \sqrt{\frac{\hbar}{2\omega_{\mathbf{k}}}} \left[ a_{\mathbf{k}, \lambda} \epsilon_{\lambda} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)} + a_{\mathbf{k}, \lambda}^\dagger \epsilon_{\lambda}^* e^{-i(\mathbf{k} \cdot \mathbf{r} - \omega t)} \right]$$

Multiplying everything out gives fundamental interactions between electrons and photons:

$$H = H_0 + H_1 + H_2$$

$$H_2^I(t) = -\frac{e^2}{2mc^2} \int d\mathbf{x}^3 \hat{\psi}^\dagger(\mathbf{x}, t) \hat{\mathbf{A}}^2(\mathbf{x}, t) \hat{\psi}(\mathbf{x}, t) = -\frac{e^2}{mc^2} \int d\mathbf{x}^3 \hat{\mathbf{A}}^2(\mathbf{x}, t) \hat{n}(\mathbf{x}, t)$$

$$H_1^I(t) = -\frac{e}{mc} \int d\mathbf{x}^3 \hat{\psi}^\dagger(\mathbf{x}, t) [\mathbf{p} \cdot \hat{\mathbf{A}}(\mathbf{x}, t)] \hat{\psi}(\mathbf{x}, t)$$



# Scattering



Scattering takes place when these interactions evolve a photon from an initial state to a final state, with a corresponding change in the electronic subsystem:

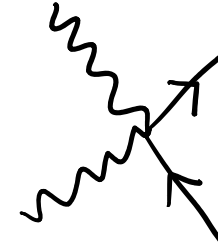
$$|i\rangle = a_{k_i, \lambda_i}^\dagger |m\rangle \longrightarrow |f\rangle = a_{k_f, \lambda_f}^\dagger |n\rangle$$

What does this is the time-evolution operator:

$$U_I(\infty, -\infty) = \exp \left[ -i \int_{-\infty}^{\infty} dt H^I(t) e^{-\eta|t|} \right] \quad M = \langle i | U_I(\infty, -\infty) | f \rangle$$

“Nonresonant” x-ray scattering

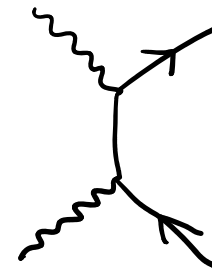
$$w_{f \leftarrow i} = r_0^2 (\epsilon_f^* \cdot \epsilon_i)^2 \sum_{n,m} |\langle n | \hat{n}(\mathbf{k}) | m \rangle|^2 P_m \delta(\omega - \omega_n + \omega_m)$$



“Resonant” inelastic x-ray scattering (RIXS)

$$w_{f \leftarrow i} = \left| \frac{e^2}{mc^2 \hbar^2} \sum_m \frac{\langle f | \mathbf{p} \cdot \mathbf{A} | m \rangle \langle m | \mathbf{p} \cdot \mathbf{A} | 0 \rangle}{\omega - \omega_m + i\gamma} \right|^2 \delta(\omega - \omega_f + \omega_0)$$

(J. van den Brink, after the coffee break)



# Cross section for x-ray scattering



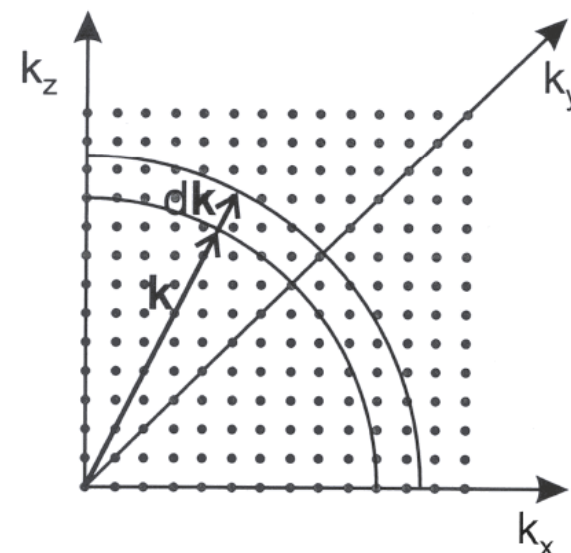
The differential scattering cross section comes from Fermi's golden rule

$$\frac{\partial^2 \sigma}{\partial \Omega \partial E} = \frac{1}{\Phi} w_{f \leftarrow i} \cdot \frac{\partial^2 N}{\partial \Omega \partial E}$$

where counting states in a box of volume  $V$  provides the density of final states:

$$\frac{\partial^2 N}{\partial \Omega \partial E} = \frac{\omega_f V}{8\pi^3 \hbar c^3}$$

$$\Phi = \frac{c}{V} \quad (\text{for one incident photon})$$

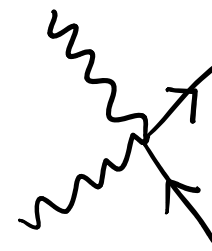


Cross section for nonresonant x-ray scattering

$$\frac{\partial^2 \sigma(\mathbf{q}, \omega)}{\partial \Omega \partial E} = r_0^2 \frac{\omega_f}{\omega_i} \left( \boldsymbol{\varepsilon}_f^* \cdot \boldsymbol{\varepsilon}_i \right)^2 S(\mathbf{q}, \omega)$$

$$\mathbf{k} = \mathbf{k}_f - \mathbf{k}_i \quad \omega = \omega_f - \omega_i$$

dynamic structure factor – what is it?





# $S(\mathbf{q}, \omega)$ and the Van Hove function



**Cross section:**

$$\frac{\partial^2 \sigma(\mathbf{q}, \omega)}{\partial \Omega \partial E} = r_0^2 \frac{\omega_2}{\omega_1} (\boldsymbol{\varepsilon}_2^* \cdot \boldsymbol{\varepsilon}_1)^2 S(\mathbf{q}, \omega)$$

**Assuming we are in thermodynamic equilibrium, S has the form**

$$S(\mathbf{q}, \omega) = \frac{1}{\hbar} \sum_{m,n} P_m |\langle n | \hat{n}(\mathbf{q}) | m \rangle|^2 \delta(\omega - \omega_n + \omega_m) \quad P_m = \frac{e^{-\hbar \omega_m / kT}}{Z}$$

**This is the so-called “dynamic structure factor.”**

**$S(\mathbf{q}, \omega)$  is the Fourier transform of the **Van Hove function**,  $G(\mathbf{x}, t)$ , which is the space-time correlation function for the electron density:**

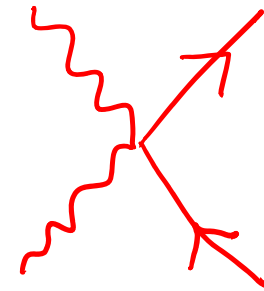
$$S(\mathbf{q}, \omega) = \int d\mathbf{x} dt G(\mathbf{x}, t) e^{-i(\mathbf{q} \cdot \mathbf{x} - \omega t)}$$

**where**

$$G(\mathbf{x}, t) = \int d\mathbf{x}' dt' \langle \hat{n}(\mathbf{x}', t') \hat{n}(\mathbf{x}' + \mathbf{x}, t' + t) \rangle$$

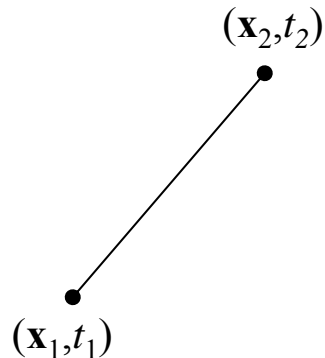
**The brackets denote a QM thermal average:**

$$\langle \hat{O} \rangle \equiv \sum_m P_m \langle m | \hat{O} | m \rangle$$



**What does this have to do with dynamics?**

# Fluctuation-Dissipation Theorem

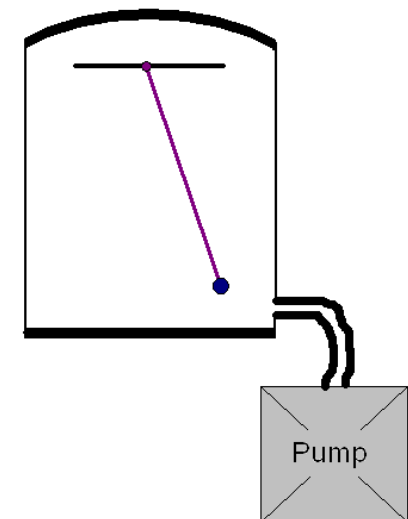
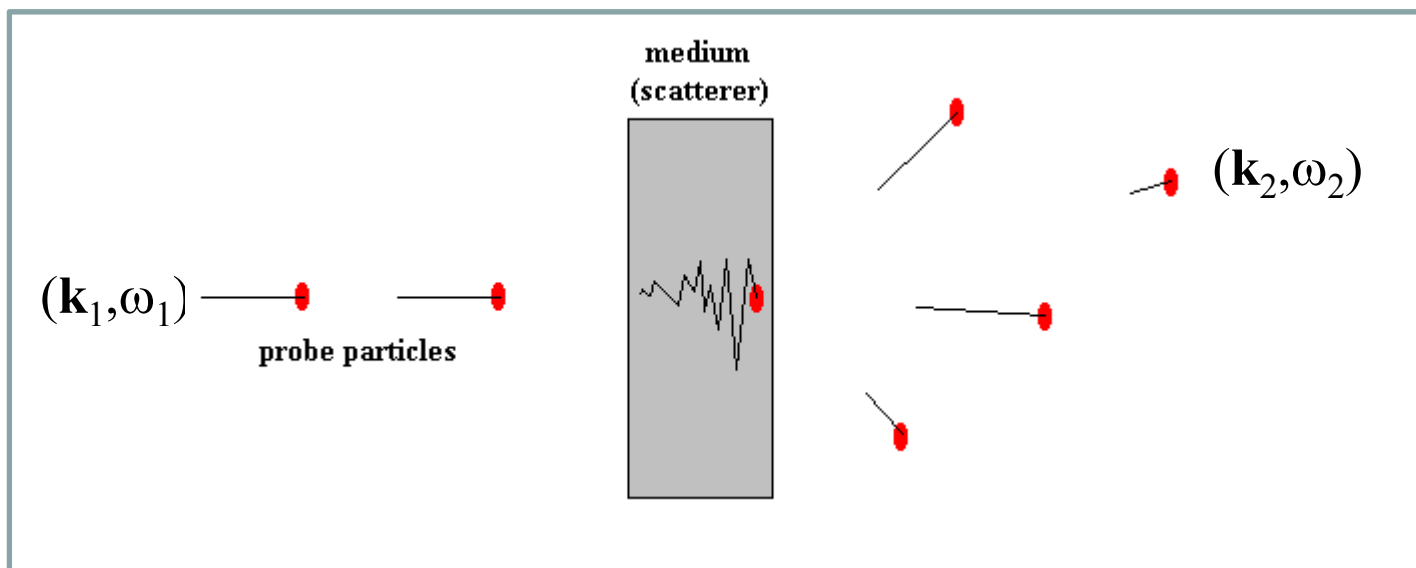


$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/kT}} \text{Im}[\chi(\mathbf{q}, \omega)]$$

$$\chi(\mathbf{x}_1, \mathbf{x}_2, t_1 - t_2) = -\frac{i}{\hbar} \langle [\hat{\rho}(\mathbf{x}_2, t_2), \hat{\rho}(\mathbf{x}_1, t_1)] \rangle \theta(t_1 - t_2)$$

fluctuation-  
dissipation  
theorem

Retarded density  
Green's function



**Describes how charge propagates in a system:**

- Phonons
- Excitons
- Plasmons
- Electron-hole pairs
- Etc.

# Green's functions or "Propagators"

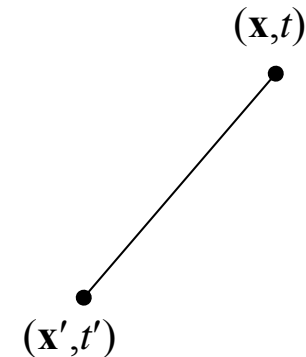


Dynamics is described by a propagator

$$K(\mathbf{x}, t; \mathbf{x}', t')$$

Electrons:

$$G(\mathbf{x}, t; \mathbf{x}', t') = i / \hbar \langle 0 | \{ \hat{\psi}(\mathbf{x}, t), \hat{\psi}^\dagger(\mathbf{x}', t') \} | 0 \rangle \theta(t - t')$$



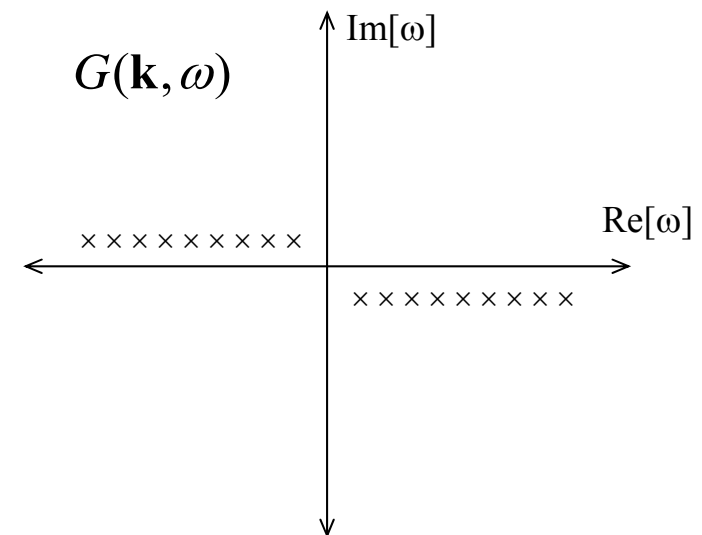
Density:

$$\chi(\mathbf{x}, t; \mathbf{x}', t') = i / \hbar \langle 0 | [ \hat{\rho}(\mathbf{x}, t), \hat{\rho}(\mathbf{x}', t') ] | 0 \rangle \theta(t - t')$$

**Some translational symmetry:**

***Frequency / momentum  
representation is more illuminating.***

- **Best way—in a many-body system—to define what is a “particle”**



## View propagator in real time?



**Crazy idea: Can we Fourier Transform IXS data and make real time movies?**

Why? Should be *incredibly easy* to get attosecond time resolution:

$$\Delta E \cdot \Delta t \sim \frac{\hbar}{2} \quad \Delta t \sim 100 \text{ as} \Rightarrow \Delta E \sim 7 \text{ eV}$$

Can we FT to observe a propagator directly?

Answer: **No**

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/kT}} \text{Im}[\chi(\mathbf{q}, \omega)]$$

Oops

*Our information is incomplete.* Cannot Fourier transform with only the imaginary part.\*

\*This is what Fermi called the “inverse scattering” problem.



# The phase problem reexamined

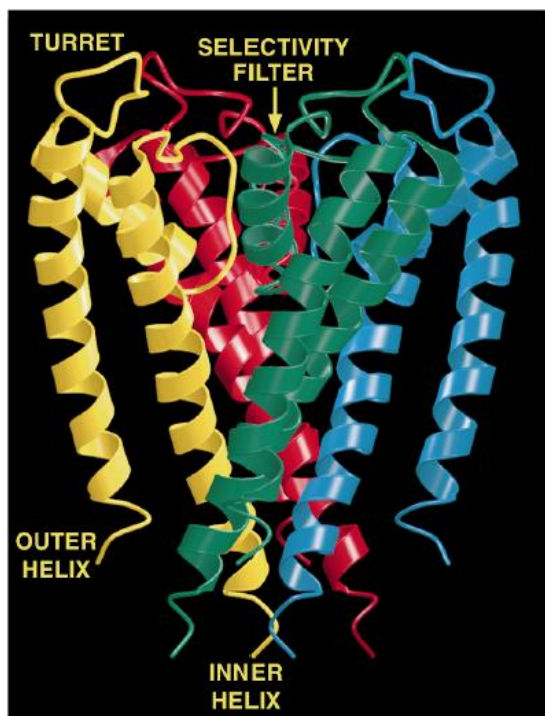


Central Dogma of x-ray crystallography:

$$I(\mathbf{q}) \propto |\rho(\mathbf{q})|^2$$

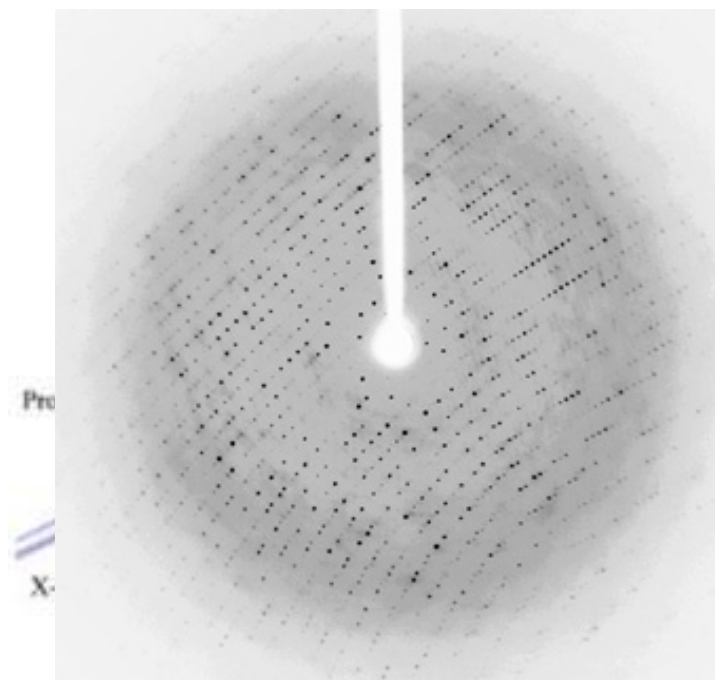
Periodic system (i.e., a crystal):

$$\rho(\mathbf{r}) = \sum_{\mathbf{G}} \rho_{\mathbf{G}} e^{-i\mathbf{G} \cdot \mathbf{r}} \quad I_{\mathbf{G}} \propto |\rho_{\mathbf{G}}|^2$$



**KcsA channel**  
R. MacKinnon  
Chem. Nobel  
Prize, 2003

D. A. Doyle, et al., Science **280**, 69 (1998)



- Phase problem is solved by incorporating *constraints* (Hg or Se atoms)
- This is the basis for the field of *structural genomics*
- Based on *classical scattering* theory. All scattering is *elastic*.

# The phase problem reexamined



**Van Hove function:**

$$S(\mathbf{q}, \omega) = \frac{1}{\hbar} \sum_n |\langle n | \hat{\rho}(\mathbf{q}) | 0 \rangle|^2 \delta(\omega - \omega_0 + \omega_n) \quad (T = 0)$$

**What we think we measure:**

$$S(\mathbf{q}, \omega)|_{\omega=0} = \frac{1}{\hbar} |\langle 0 | \hat{\rho}(\mathbf{q}) | 0 \rangle|^2 = \frac{1}{\hbar} |\langle \hat{\rho}(\mathbf{q}) \rangle|^2$$

**What we actually measure:**

$$\int d\omega S(\mathbf{q}, \omega) = \frac{1}{\hbar} \sum_n |\langle n | \hat{\rho}(\mathbf{q}) | 0 \rangle|^2 = \frac{1}{\hbar} \langle 0 | \hat{\rho}(-\mathbf{q}) \hat{\rho}(\mathbf{q}) | 0 \rangle = \frac{1}{\hbar} \langle |\hat{\rho}(\mathbf{q})|^2 \rangle$$

**More general formulation of the phase problem:**

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/kT}} \text{Im}[\chi(\mathbf{q}, \omega)] \quad \text{Re}[\chi(\mathbf{k}, \omega)] = \frac{2}{\pi} P \int_0^\infty \frac{\text{Im}[\chi(\mathbf{k}, \omega')]}{(\omega')^2 - \omega^2} d\omega'$$

- $\chi(\mathbf{x}, t) = 0$  for  $t < 0$
- Raw spectra do not really describe dynamics – no causal information
- Causality is the constraint. Must assign an *arrow of time* to the problem.
- Rise of entropy  $\Leftrightarrow$  arrow of time

# What if the system is inhomogeneous?



**Assume it's periodic:**

$$\chi(\mathbf{x}_1, \mathbf{x}_2, t_1 - t_2) = -\frac{i}{\hbar} \langle [\hat{\rho}(\mathbf{x}_2, t_2), \hat{\rho}(\mathbf{x}_1, t_1)] \rangle \theta(t_2 - t_1)$$

$$\chi(\mathbf{k}_1, \mathbf{k}_2, \omega) = \chi(\mathbf{k}_1, \mathbf{G} - \mathbf{k}_1, \omega)$$

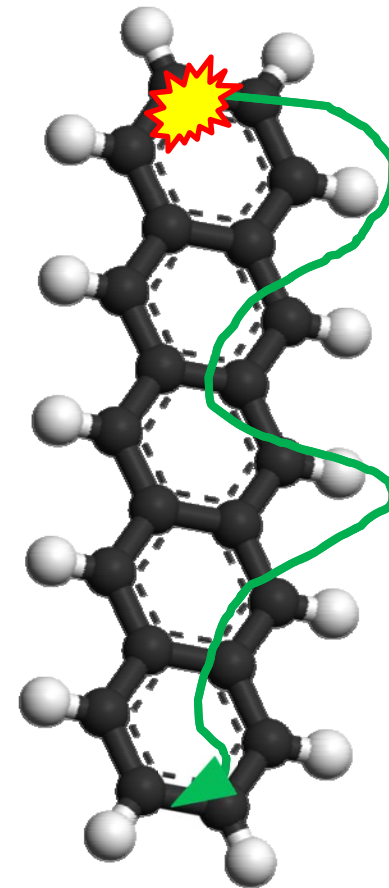
**In regular scattering, we only measure the diagonal ( $\mathbf{G}=0$ ) components of this matrix:**

$$S(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/kT}} \text{Im}[\chi(\mathbf{k}, -\mathbf{k}, \omega)]$$

**Naïvely Fourier transform and you get a spatial average:**

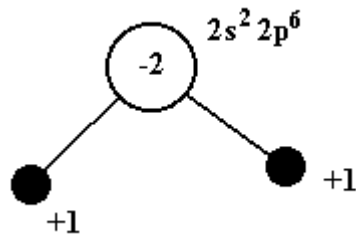
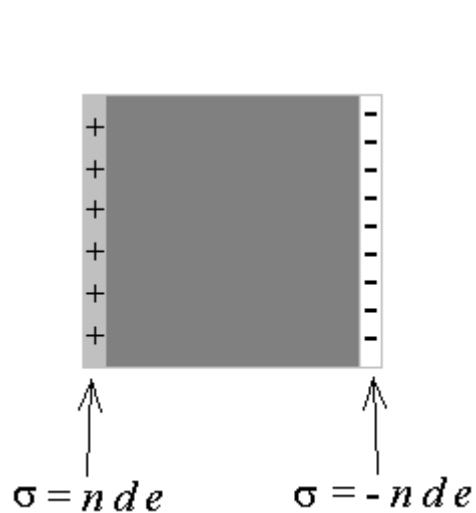
$$\chi(\mathbf{r}, t) = \int d\mathbf{r}' \chi(\mathbf{r}', \mathbf{r}' + \mathbf{r}, t)$$

[P. A., et al., Phys. Rev. B **80**, 054302 (2009)]



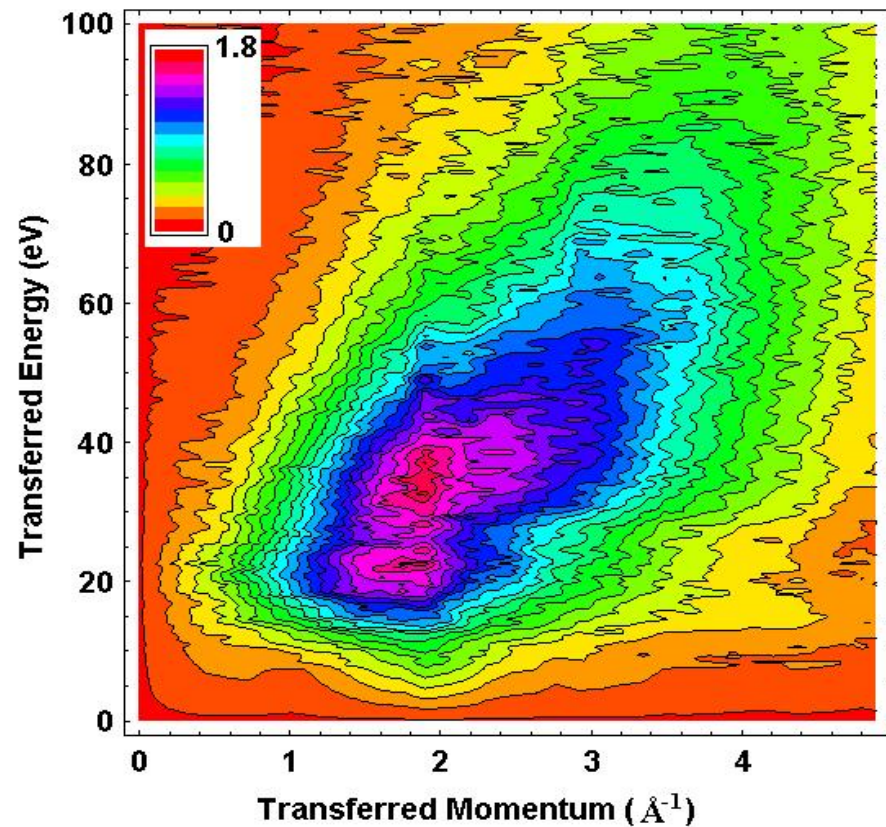
**If the system is homogeneous, this is OK.** If not, things get even better...  
but let's start with the homogeneous case.

# Plasma oscillations in water



$$-\text{Im}[\chi(\mathbf{k}, \omega)] \quad (a s / \text{\AA}^3)$$

- 8 valence electrons / molecule
- $\rho = 1 \text{ g/cm}^3 \Rightarrow n = 0.20 \text{ e/\AA}^3$
- $\omega_p = \sqrt{(4\pi n e^2 / m)} = 16.6 \text{ eV}$





# Problems

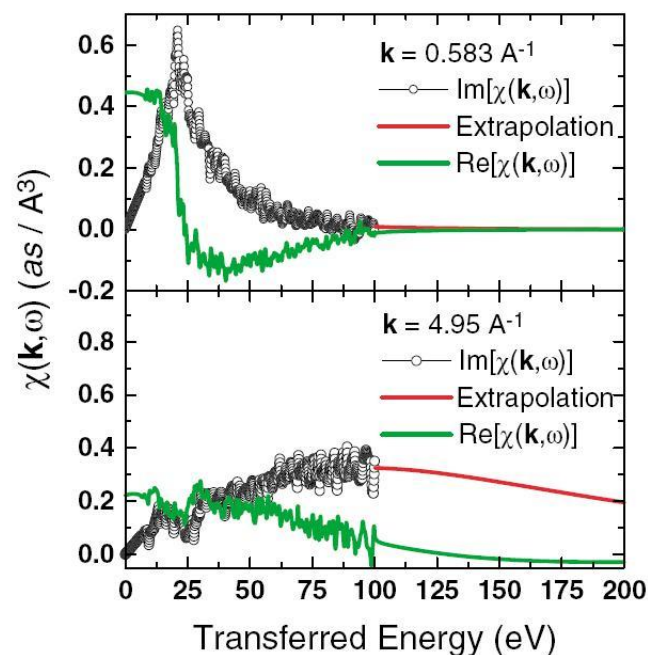


## Problem #1:

$\text{Im}[\chi(\mathbf{k}, \omega)]$  must be defined on *infinite*  $\omega$  interval for continuous time interval

## Solution:

Extrapolate.



## Side effects:

- $\chi(\mathbf{x}, t)$  defined on continuous (infinitely narrow) time intervals.
- Time “resolution”  $\Delta t_N = \pi / \Omega_{\text{max}}$
- $\Omega_{\text{max}}$  plays role of pulse width.

# More Problems



## Problem #2:

*Discrete points violate causality*

$\text{Im}[\chi(\mathbf{k}, \omega)]$  must be defined on *continuous*  $\omega$  interval. Periodicity incompatible with causality.

## Solution:

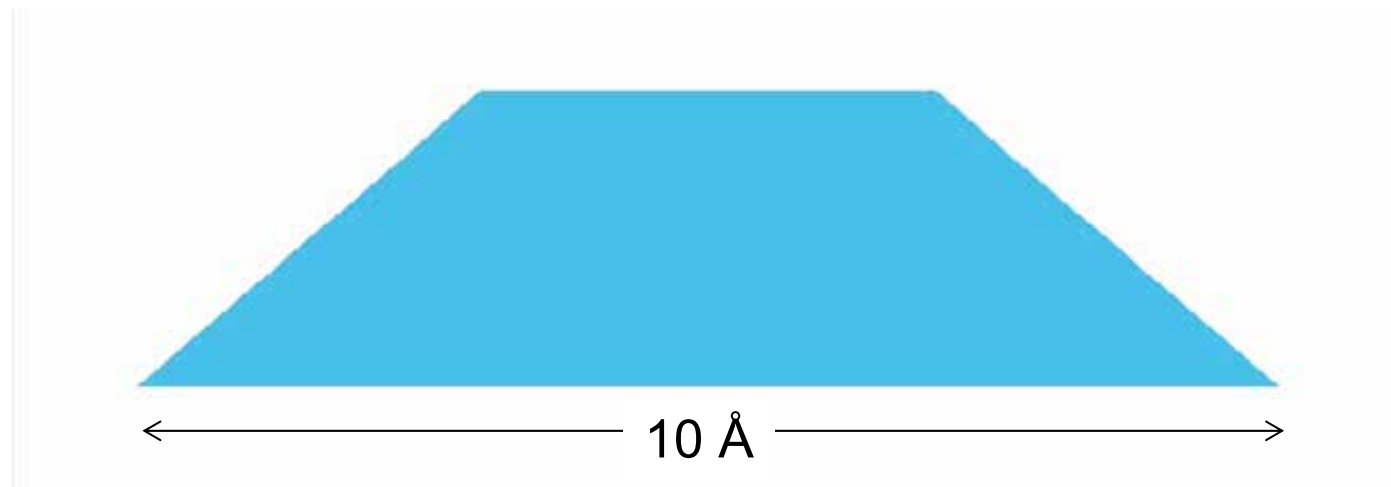
Interpolation (i.e., add data)

$$\chi(\mathbf{k}, t) = \int_0^\infty \frac{d\omega}{\pi} [\sin(\omega t) \text{Im}\chi(\mathbf{k}, \omega) + \cos(\omega t) \text{Re}\chi(\mathbf{k}, \omega)]$$
$$\chi(\mathbf{k}, t) = \frac{2}{\pi} \int_0^\infty d\omega \sin(\omega t) \text{Im}\chi(\mathbf{k}, \omega)$$

## Side effects:

- $\chi(\mathbf{x}, t)$  defined forever. Vanishes for  $t < 0$ .
- Repeats with period  $T = 2\pi/\Delta\omega$
- $\Delta\omega$  plays role of rep rate

## *Disturbance from a point source in water*

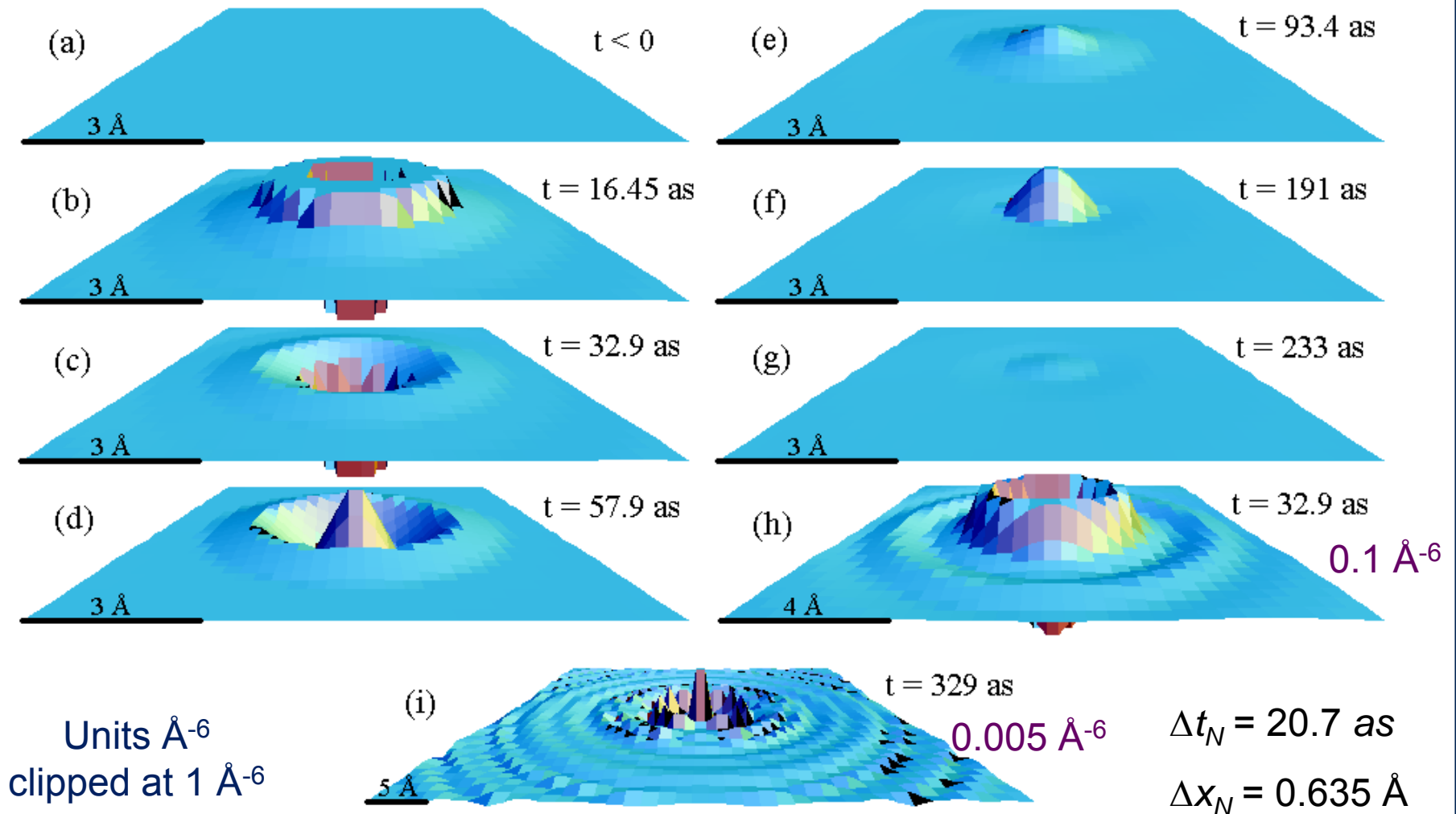


Units  $\text{\AA}^{-6}$   
clipped at  $1 \text{\AA}^{-6}$

$$\Delta t_N = 20.7 \text{ as}$$

$$\Delta x_N = 0.635 \text{\AA}$$

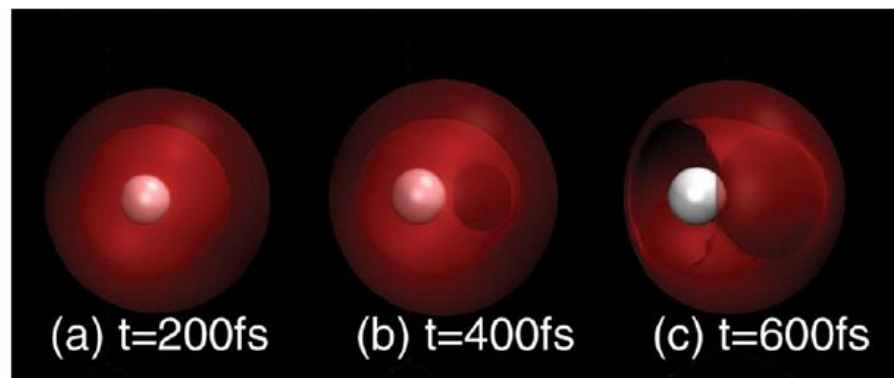
# Frame-by-frame



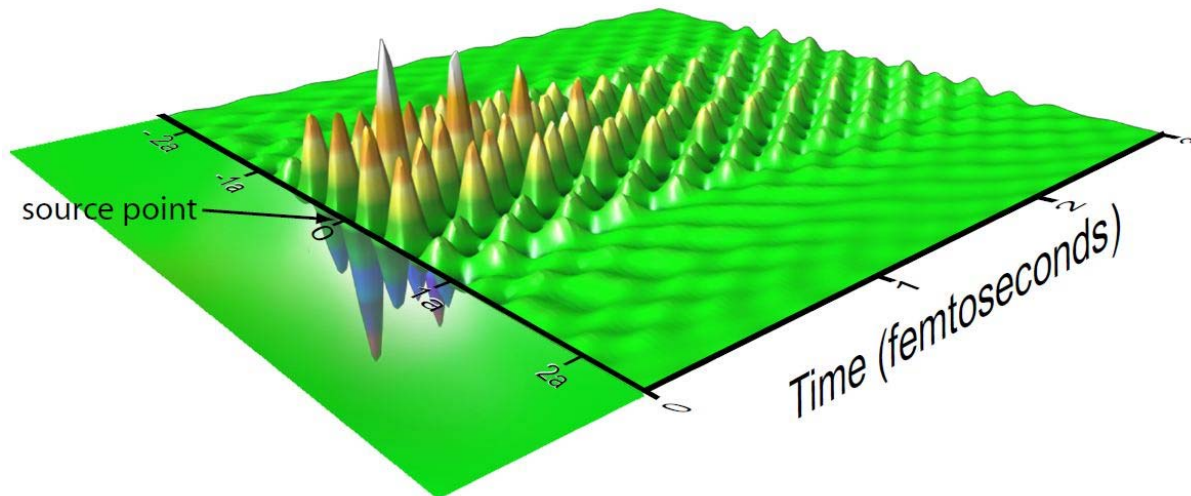
- Events transpire in 350 as – *light travels 100 nm in vacuum*
- Causality  $\Leftrightarrow$  Analytic properties  $\Leftrightarrow$  Rise of entropy  $\Leftrightarrow$  Arrow of time



# Attosecond imaging with IXS

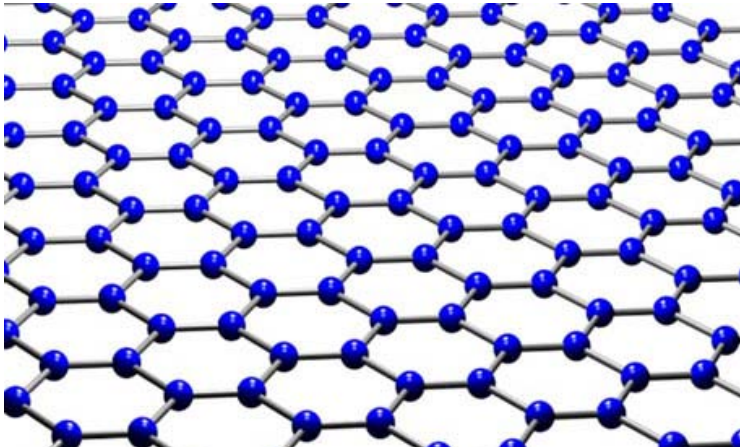


**Ion solvation dynamics** ( $\Delta t = 26 \text{ fs}$ )  
R. Coridan, et al., PRL **103**, 237402 (2009)



**“Birth” of an exciton in LiF** ( $\Delta t = 20.6 \text{ as}$ )  
P. A. et al., PNAS **105**, 12159 (2008)

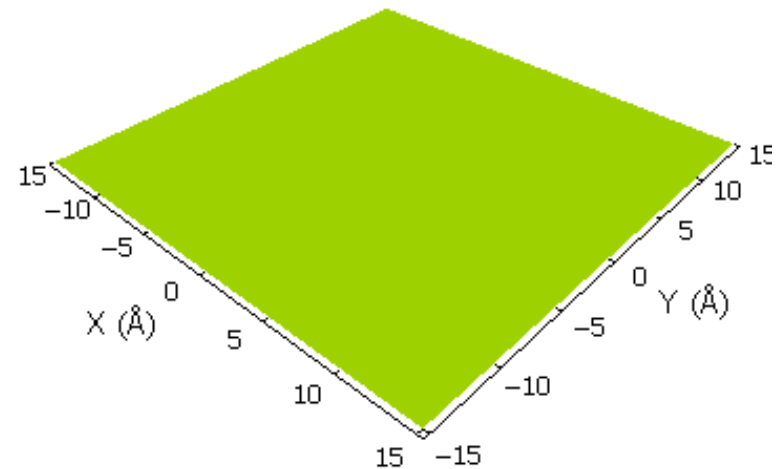
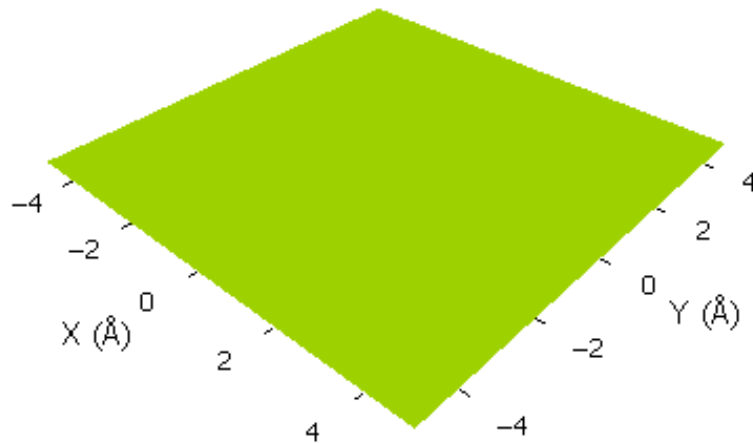
# Effective fine structure constant of graphene



time = 0. as

$$\alpha_g^*(\mathbf{k}, \omega) = \alpha_g [1 + V(k) \chi(\mathbf{k}, \omega)]$$

**Charge propagator. Gives  
screening correction to  $\alpha_g$ .**



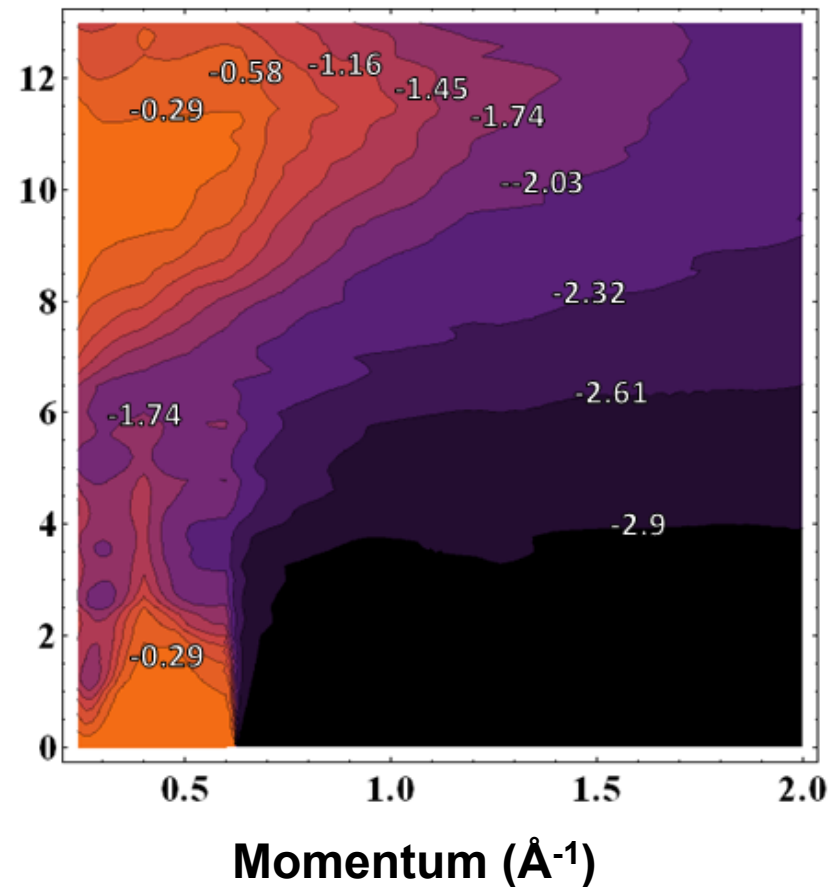
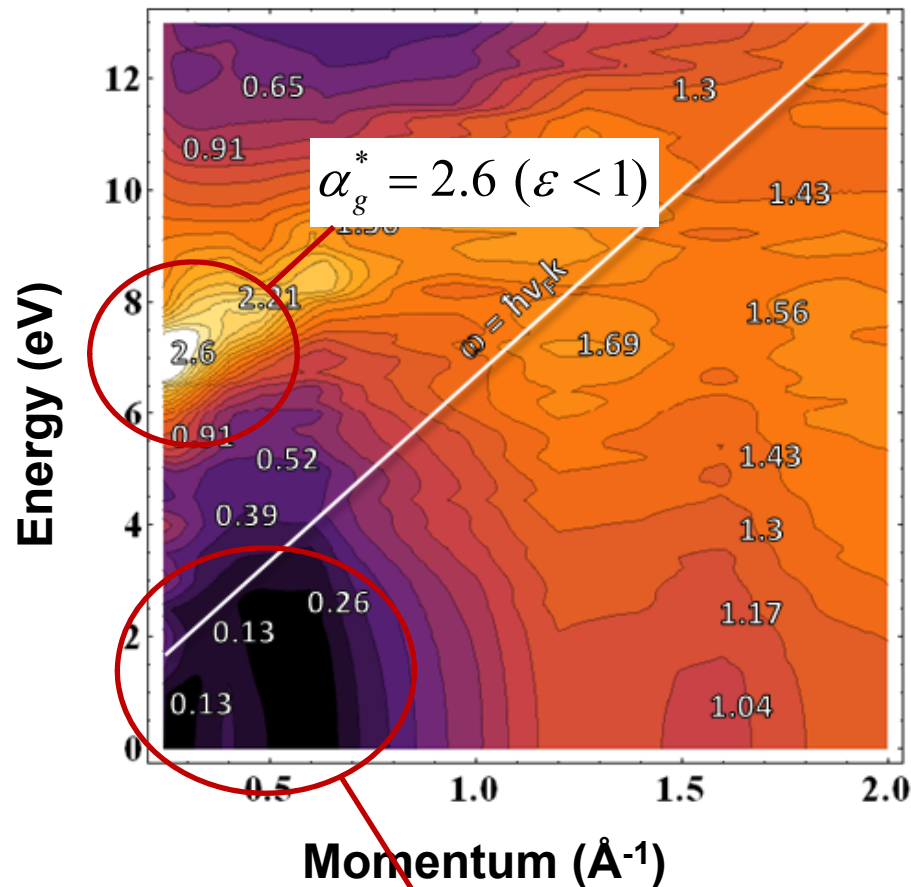
$\Delta t = 10.3$  attoseconds ( $10^{-17}$  sec),  $\Delta r = 0.2$  Å

# Effective fine structure constant, $\alpha_g^*(\mathbf{k}, \omega)$



$$|\alpha_g^*(\mathbf{k}, \omega)|$$

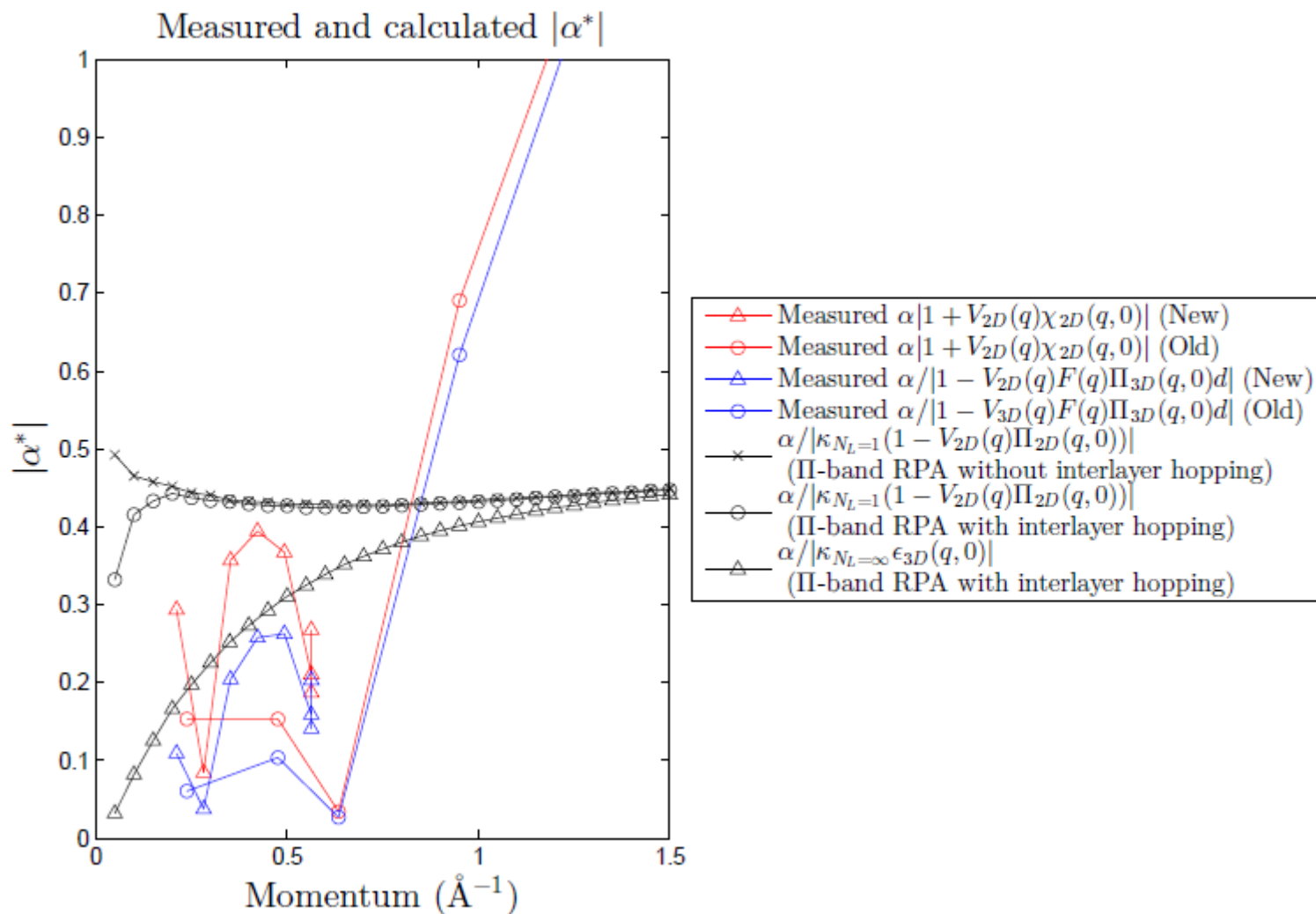
$$\arg[\alpha_g^*(\mathbf{k}, \omega)]$$



$$\alpha_g^*(0^+, 0) \equiv \lim_{\mathbf{k} \rightarrow 0} \alpha_g^*(\mathbf{k}, 0) = 0.14 \pm 0.092 \approx 1/7$$

J. P. Reed, et al., Science **330**, 805 (2010)

# New results





# X-Ray Scattering, Finally Done “Correctly”

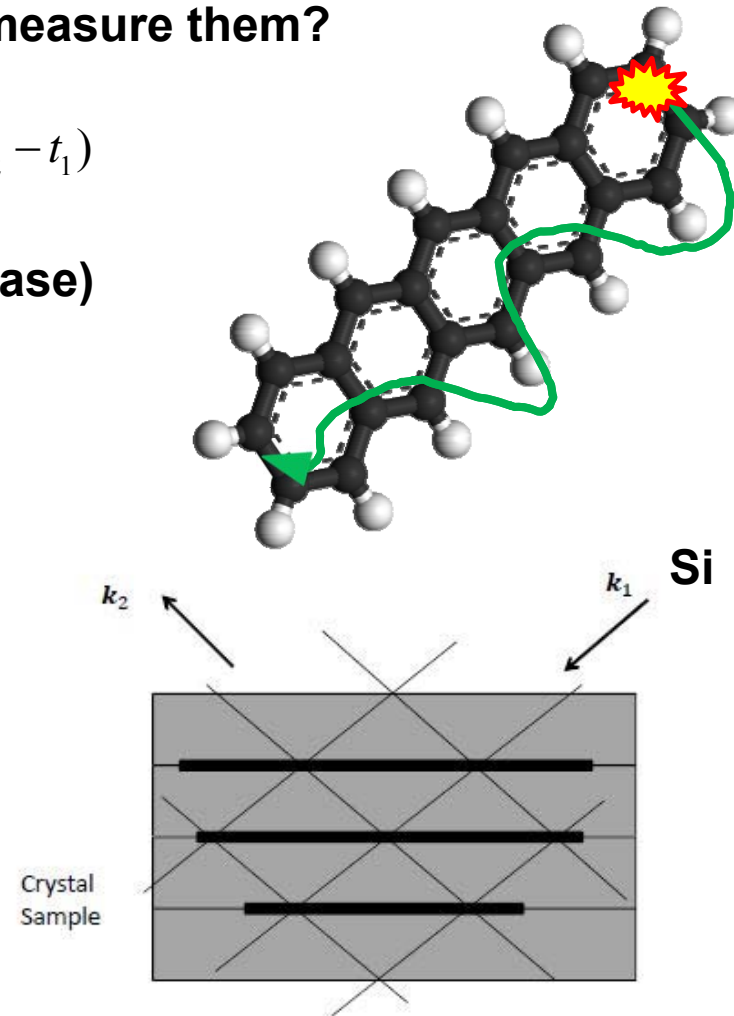
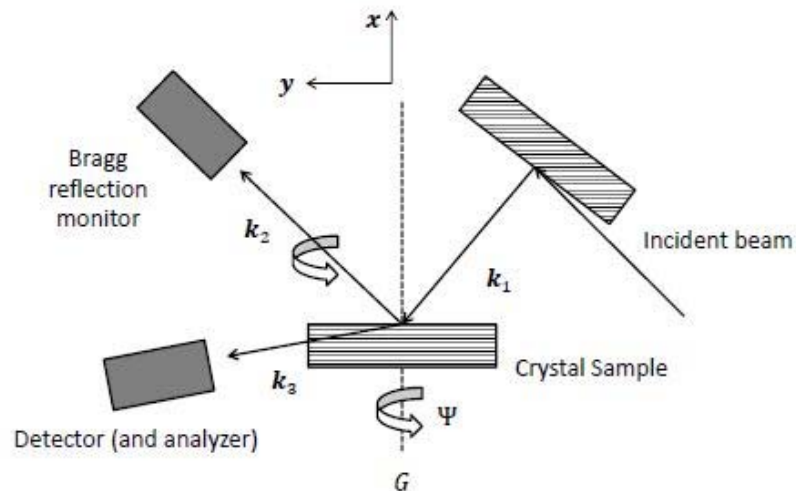


We need the off-diagonal terms. Can we measure them?

$$\chi(\mathbf{x}_1, \mathbf{x}_2, t_1 - t_2) = -\frac{i}{\hbar} \langle [\hat{\rho}(\mathbf{x}_2, t_2), \hat{\rho}(\mathbf{x}_1, t_1)] \rangle \theta(t_2 - t_1)$$

$$\chi(\mathbf{k}_1, \mathbf{k}_2, \omega) = \chi(\mathbf{k}_1, \mathbf{G} - \mathbf{k}_1, \omega) \quad (\text{periodic case})$$

Yes ... X-ray standing waves:



J. A. Golovchenko, et al., PRL 46, 1454 (1981)  
W. Schulke, U. Bonse, S. Mourikis, PRL 47, 1209 (1981)  
W. Schulke, A. Kaprolat, PRL 67, 879 (1991)

# X-Ray Scattering, Finally Done “Correctly”



**“Incident” photon is in a superposition of momenta:**

$$|i\rangle = (g_1 a_{\mathbf{k}_1 \alpha_1}^\dagger + g_2 a_{\mathbf{k}_2 \alpha_2}^\dagger e^{i\gamma}) |m\rangle, \quad |f\rangle = a_{\mathbf{k}_3 \alpha_3}^\dagger |n\rangle$$

**Now do scattering:**

$$\hat{H} = \hat{H}_0 + \frac{e}{2mc} \int \hat{\psi}^\dagger \hat{\mathbf{A}} \cdot \mathbf{p} \hat{\psi} d\mathbf{x} + \frac{e^2}{2mc^2} \int \hat{\rho} \hat{\mathbf{A}}^2 d\mathbf{x}$$

**The cross section contains interference terms:**

$$\begin{aligned} \frac{d^2\sigma}{dE'd\Omega} = & \left( \frac{e^2}{mc^2} \right)^2 \frac{\omega_3}{\omega_1} [g_1^2 |\hat{\epsilon}_3^* \cdot \hat{\epsilon}_1|^2 S(\mathbf{q}_1, \omega) + g_2^2 |\hat{\epsilon}_3^* \cdot \hat{\epsilon}_2|^2 S(\mathbf{q}_2, \omega) \\ & + g_1 g_2 e^{i\gamma} (\hat{\epsilon}_3 \cdot \hat{\epsilon}_1^*) (\hat{\epsilon}_3^* \cdot \hat{\epsilon}_2) S(\mathbf{q}_1, \mathbf{q}_2, \omega) \\ & + g_1 g_2 e^{-i\gamma} (\hat{\epsilon}_3^* \cdot \hat{\epsilon}_1) (\hat{\epsilon}_3 \cdot \hat{\epsilon}_2^*) S(\mathbf{q}_2, \mathbf{q}_1, \omega)] , \end{aligned}$$

Phase of the standing wave. *Requires coherent wave field.*

**Interference terms are off-diagonal dynamic structure factor**

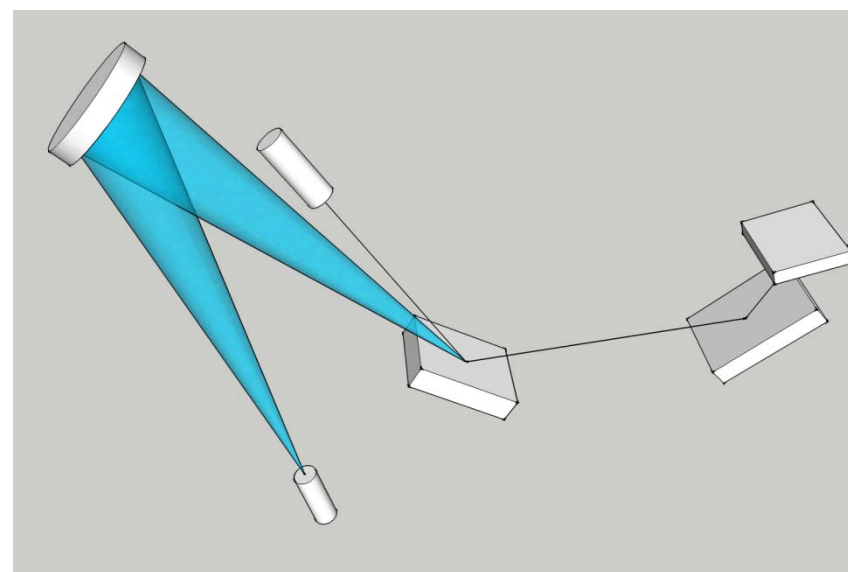
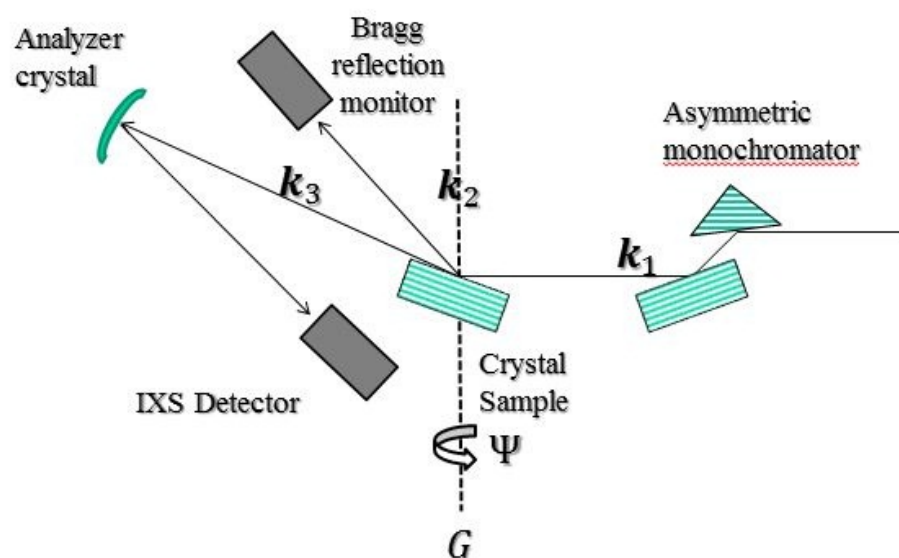
$$S(\mathbf{q}_1, \mathbf{q}_2, \omega) \equiv \sum_{n,m} b_m \langle m | \rho(\mathbf{q}_1) | n \rangle \langle n | \rho(-\mathbf{q}_2) | m \rangle \delta(\hbar\omega - E_n + E_m)$$

**Generalized fluctuation-dissipation theorem:**

$$S(\mathbf{q}_1, \mathbf{q}_2, \omega) = -\frac{1}{\pi} \frac{1}{1 - e^{-\beta\hbar\omega}} \text{Im} \chi(\mathbf{q}_1, -\mathbf{q}_2, \omega).$$

Y. Gan, A. Kogar, P. Abbamonte,  
Chem. Phys. **414**, 160 (2012)

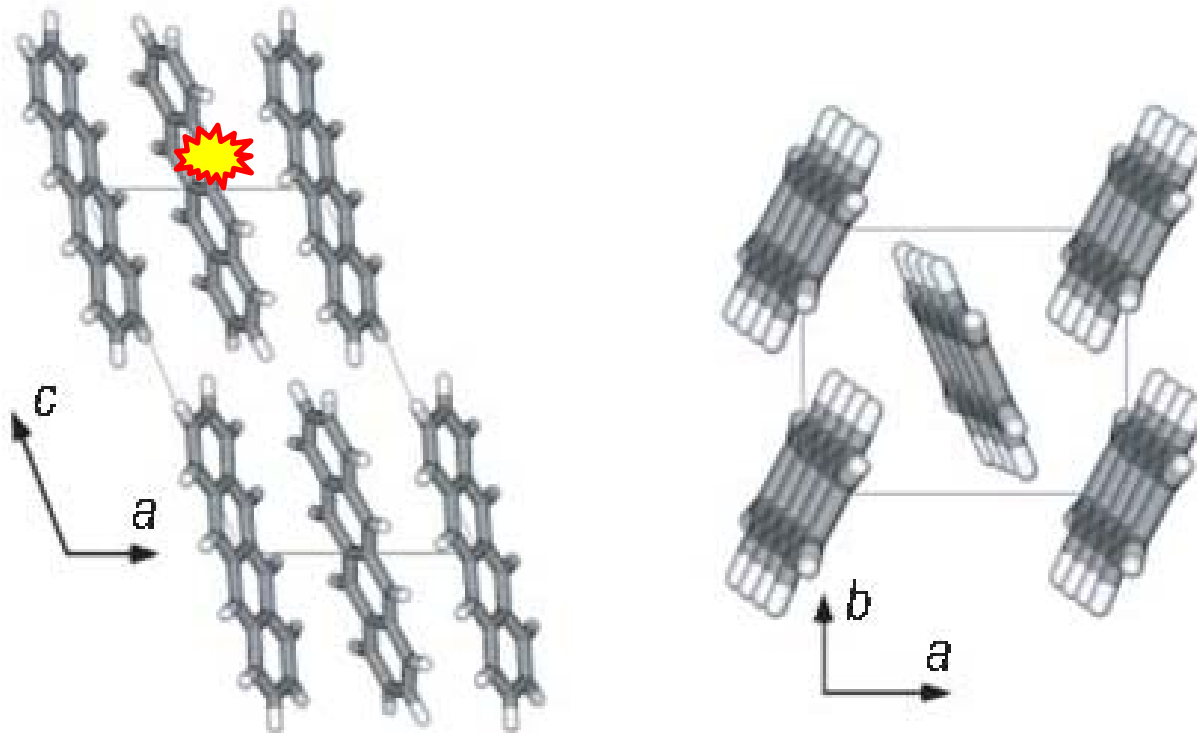
# *“Crystallography for the collective excitations”*



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- Complete reconstruction of  $\chi(\mathbf{r}_1, \mathbf{r}_2, t)$
- Angstrom spatial resolution
- Attosecond time resolution



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